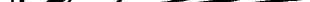


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Substitute for form 1449A/PTO <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/592,404
				Filing Date	June 13, 2000
				First Named Inventor	Nicholas J. Hammond
				Art Unit	2131
				Examiner Name	Christian A. LaForgia
				Attorney Docket Number	05456.105045
Sheet	1	of	3		

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Examiner Signature		Date Considered	9/27/07
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				Application Number	09/592,404
				Filing Date	June 13, 2000
				First Named Inventor	Nicholas J. Hammond
				Art Unit	2131
				Examiner Name	Christian A. LaForgia
				Attorney Docket Number	05456.105045
Sheet	2	of	3		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CJF		Internet Security Systems, SAFESuite Enterprise, SAFESuite Decisions, 1998. (Pertinent Pages 15-23, ch. 2, sect. B)	
CJF		KO et al., Execution Monitoring of Security-Critical Programs in Distributed Systems: A Specification-Based Approach, 1997, Proceedings of the 1997 IEEE Symposium on Security and Privacy, Pages 175-187. (Pertinent Page 186, para. 6)	
CJF		ANDERSON et al., Next-Generation Intrusion Detection Expert System (NIDES), A Summary, May 1995, SRI International, Pages 1-37. (Pertinent Pages 20-26, sects. 2.5.1-2.5.2)	
CJF		DENNING, An Intrusion-Detection Model, February 1987, IEEE Transactions on Software Engineering, Vol. SE-13, No. 2, Pages 1-17. (Pertinent Pages 3-5, sect. IV)	
CJF		LINDQVIST et al., eXpert-BSM: A Host-based Intrusion Detection Solution for Sun Solaris, SRI International, Menlo Park, California, December 10-14, 2001, Proceedings of the 17 th Annual Computer Security Applications Conference, Pages 1-12. (Pertinent Pages 7-9, sects. 4.2-4.3)	
CJF		NetworkICE Corporation, ICEcap Administrator's Guide, Version 1.0 BETA, 1999, Pages 1-142. (Pertinent Pages 79-82)	
CJF		SRI International, A Prototype IDES: A Real-Time Intrusion-Detection Expert System, August 1987, Page 1-63. (Pertinent Pages 25-41, sects. 7.1.1-7.5.3)	
CJF		LUNT, Teresa, Automated Audit Trail Analysis and Intrusion Detection: A Survey, Proceedings of the 11 th National Computer Security Conference, October 1988, Pages 1-8. (Pertinent Pages 4-5, sect. 3.2)	
CJF		BACE, An Introduction to Intrusion Detection and Assessment for System and Network Security Management, April 1999, Pages 1-38. (Pertinent Pages 24-27)	
CJF		RealSecure, Adaptive Network Security Manager Module Programmer's Reference Manual, 1999, pp. 1-74. (Pertinent Pages 5-6, ch. 2)	
CJF		PERROCHON et al., Enlisting Event Patterns for Cyber Battlefield Awareness, DARPA Information Survivability Conference and Exposition, 2000, DISCEX Proceedings, Jan. 2000 Stanford University, pp. 1-12. (Pertinent Pages 6-10, sects. 3.1-3.2)	
CJF		CUPPENS, Cooperative Intrusion Detection, pp. 1-10. (Pertinent Pages 4-9, sects. 4-7)	
CJF		MUKHERJEE et al., Network Intrusion Detection, IEEE Network, May/June 1994, pp. 26-41. (Pertinent Page 30, sect. Intrusion Detection Expert System (IDES))	
CJF		BASS, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105. (Pertinent Pages 101-105, sects. 2-3)	

Examiner Signature		Date Considered	9/27/07
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	09/592,404		
		Filing Date	June 13, 2000		
		First Named Inventor	Nicholas J. Hammond		
		Art Unit	2131		
		Examiner Name	Christian A. LaForgia		
Sheet	3	of	3	Attorney Docket Number	05456.105045

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CF		METCALF et al., Intrusion Detection System Requirements, September 2000, Mitre Corporation, pp. 1-33. (Pertinent Pages 3-11)	
CF		RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92. (Pertinent Pages 55-78)	
CF		Internet Scanner SAFE SAFEsuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158. (Pertinent Pages 4-2 to 4-9, ch. 4)	
CF		ANDERSON et al., Detecting Unusual Program Behavior Using the Statistical Components of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89. (Pertinent Pages 15-23, sects. 3.1-3.4.4)	
CF		"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182. (Pertinent Pages 9-36, ch. 2)	
CF		MOUNJI et al., Distributed Audit Trail Analysis, Proceedings of the Symposium on Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112. (Pertinent Pages 102-112, sects. 5-7)	
CF		FISCH et al., "The Design of an Audit Trail Analysis Tool," Proceedings of the 10 th Annual Computer Security Applications Conference, December 5-9, 1994, Orlando, Florida, pp. 126-132. (Pertinent Pages 126-127, sects. 1-2)	
CF		VARADHARAJAN, Vijay, "Design and Management of a Secure Networked Administration System: A Practical Approach," 18 th National Information Systems Security Conference, October 22-25, 1995, Baltimore, Maryland, pp. 570-580. (Pertinent Pages 570-571, sect. 2)	

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ATTY. Docket NO.	SERIAL NO.	FILING DATE
05456.105045	09/592,404	June 13, 2000
APPLICANT	GROUP	
Nicolas J. Hammer	2131	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,696,486	12/9/97	Poliquin et al.	340		
AB	6,353,385	3/5/02	Molini et al.	340		
AC	5,353,393	10/4/94	Bennett et al.	345		
AD	6,429,952	8/6/02	Olbricht	358		
AE	5,748,098	5/5/98	Grace	370		
AF	6,396,845	5/28/02	Sugita	370		
AG	6,711,127	3/23/04	Gorman et al.	370		
AH	5,734,697	3/31/98	Jabbarnezhad	379		
AI	6,104,783	8/15/00	DeFino	379		
AJ	6,226,372	5/1/01	Beebe et al.	379		
AK	6,445,822	9/3/02	Crill et al.	382		
AL	6,563,959	5/13/03	Troyanker	382		
AM	6,618,501	9/9/03	Osawa et al.	382		

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
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APPLICANT	GROUP	
Nicolas J. Hammond	2131	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AN		6,628,824	9/30/03	Belanger	382		
AO		6,647,139	11/11/03	Kunii et al.	382		
AP		6,661,904	12/9/03	Sasich et al.	382		
AQ		6,668,082	12/23/03	Davison et al.	382		
AR		6,668,084	12/23/03	Minami	382		
AS		6,792,144	9/14/04	Yan et al.	382		
AT		5,345,595	9/6/94	Johnson et al.	455		
AU		6,278,886	8/21/01	Hwang	455		
AV		5,210,704	5/11/93	Husseiny	702		
AW		5,842,002	11/24/98	Schmurer et al.	703		
AX		5,854,916	12/29/98	Nachenberg	703		
AY		5,999,723	12/7/99	Nachenberg	703		
AZ		6,067,410	5/23/00	Nachenberg	703		
BA		5,864,803	1/26/99	Nussbaum	704		

FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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APPLICANT		GROUP
Nicolas J. Hammond		2131

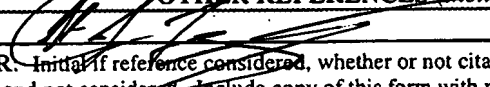
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BB	6,014,645	1/11/00	Cunningham	705		
BC	6,384,434	6/24/03	Hummel, Jr. et al.	705		
BD	5,675,711	10/7/97	Kephart et al.	706		
BE	3,907,834	5/23/99	Kephart et al.	706		
BF	5,649,185	7/15/97	Antognini et al.	707		
BG	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
BH	5,878,420	3/2/99	de la Salle	707		
BI	5,899,999	5/4/99	De Bonet	707		
BJ	6,144,961	11/7/00	de la Salle	707		
BK	6,161,109	12/12/00	Matamoros et al.	707		
BL	6,282,546	8/28/01	Gleichauf et al.	707		
BM	6,463,426	10/8/02	Lipson et al.	707		

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Nicolas J. Hammond	2131	

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BN	6,647,400	11/11/03	Moran	707		
BO	4,223,380	9/16/80	Antonaccio et al.	709		
BP	5,309,562	5/3/94	Li	709		
BQ	5,347,450	9/13/94	Nugent	709		
BR	5,371,852	12/6/94	Antanasio et al.	709		
BS	5,515,508	5/7/96	Pettus et al.	709		
BT	5,539,659	7/23/96	McKee et al.	709		
BU	5,630,061	5/13/97	Richter et al.	709		
BV	5,706,210	1/6/98	Kumano et al.	709		
BW	5,745,692	4/28/98	Lohmann, II et al.	709		
BX	5,790,799	8/4/98	Mogul	709		
BY	5,835,726	11/10/98	Shwed et al.	709		
BZ	5,881,236	3/9/99	Dickey	709		
CA	5,884,033	3/16/99	Duvall et al.	709		
CB	5,922,051	7/13/99	Sidey	709		

FOREIGN PATENT DOCUMENTS

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Nicolas J. Hammond	2131	

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CC	5,964,839	10/12/99	Johnson et al.	709		
	CD	5,974,237	10/26/99	Shurmer et al.	709		
	CE	5,974,457	10/26/99	Waclawsky et al.	709		
	CF	5,983,270	11/9/99	Abraham et al.	709		
	CG	6,009,467	12/28/99	Ratchiff et al.	709		
	CH	6,026,442	2/15/00	Lewis et al.	709		
	CI	6,035,323	3/7/00	Narayan et al.	709		
	CI	6,041,347	3/21/00	Harsham et al.	709		
	CK	6,052,709	4/18/00	Paul	709		
	CL	6,070,190	5/30/00	Reps et al.	709		
	CM	6,073,172	6/6/00	Frailong et al.	709		
	EN	6,085,224	7/4/00	Wagner	709		
	CO	6,119,165	9/12/00	Li et al.	709		
	CP	6,195,687	2/27/01	Greaves et al.	709		

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Nicolas J. Hammond	2131	

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CQ		6,377,994	4/23/02	Ault et al.	709		
CR		6,397,245	5/28/02	Johnson, II et al.	709		
CS		6,415,321	7/2/02	Gleichauf et al.	709		
CT		6,434,615	8/13/02	Dinh et al.	709		
CU		6,438,600	8/20/02	Greenfield et al.	709		
CV		6,453,345	9/17/02	Trcka et al.	709		
CW		6,453,346	9/17/02	Garg et al.	709		
CX		6,484,203	11/19/02	Porras et al.	709		
CY		6,496,858	12/17/02	Frailong et al.	709		
CZ		6,519,647	2/11/03	Howard et al.	709		
DA		6,708,212	3/16/04	Porras et al.	709		
DB		6,711,615	3/23/04	Porras et al.	709		
DC		6,718,383	4/6/04	Hebert	709		

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Nicolas J. Hammond		2131

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DD		2002/0032793	3/14/02	Malan et al.	709		
DE		2003/0037136	2/20/03	Labovitz et al.	709		
DF		4,400,769	8/23/83	Kaneda et al.	710		
DG		5,511,184	4/23/96	Lin	710		
DH		5,991,856	11/23/99	Spilo et al.	711		
DI		6,324,627	11/27/01	Krichett et al.	711		
DJ		4,975,950	12/4/90	Lentz	713		
DK		5,032,979	7/16/91	Hecht et al.	713		
DL		5,121,345	6/9/92	Lentz	713		
DM		5,204,966	4/20/93	Wittenberg et al.	713		
DN		5,274,824	12/28/93	Howarth	713		
DO		5,278,901	1/11/94	Shieh et al.	713		
DP		5,311,593	3/10/94	Carmi	713		
DQ		5,359,659	10/25/94	Rosenthal	713		
DR		5,414,833	5/9/95	Hershey et al.	713		
DS		5,475,839	12/12/95	Watson et al.	713		

FOREIGN PATENT DOCUMENTS

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Nicolas J. Hammond	2131	

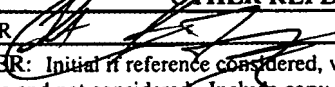
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DT	5,557,742	9/17/96	Smaha et al.	713		
DU	5,586,260	12/17/96	Hu	713		
DV	5,606,668	2/25/97	Shwed	713		
DW	5,623,600	4/22/97	Ji et al.	713		
DX	5,623,601	4/22/97	Vu	713		
DY	5,696,822	12/9/97	Nachenberg	713		
DZ	5,761,504	6/2/98	Corrigan et al.	713		
EA	5,764,887	6/9/98	Kello et al.	713		
EB	5,764,890	6/9/98	Glasser et al.	713		
EC	5,787,177	7/28/98	Leppek	713		
ED	5,796,942	8/18/98	Esbensen	713		
EE	5,798,706	8/25/98	Kraemer et al.	713		
EF	5,812,763	9/22/98	Teng	713		
EG	5,815,574	9/29/98	Fortinsky	713		
EH	5,822,517	10/13/98	Dotan	713		

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

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LIST OF INFORMATION DISCLOSED BY APPLICANT

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ATTY. DOCKET NO.	SERIAL NO.	FILING DATE
05456.105045	09/592,404	June 13, 2000
APPLICANT	GROUP	
Nicolas J. Hammond	2131	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EL	5,826,013	10/20/98	Nachenberg	713		
EJ	5,828,833	10/27/98	Belville et al.	713		
EK	5,832,208	11/3/98	Chen et al.	713		
EL	5,832,211	11/3/98	Blakley, III et al.	713		
EM	5,838,903	11/17/98	Blakely, III et al.	713		
EN	5,843,067	12/1/98	Porter et al.	713		
EO	5,848,233	12/8/98	Radia et al.	713		
EP	5,864,665	1/26/99	Iran	713		
EQ	5,875,296	2/23/99	Shi et al.	713		
ER	5,892,903	4/6/99	Klaus	713		
ES	5,919,257	7/6/99	Trostle	713		
ET	5,919,258	7/6/99	Kayashima et al.	713		
EU	5,925,126	7/20/99	Hsieh	713		
EV	5,931,946	8/3/99	Terada et al.	713		
EW	5,940,591	8/17/99	Boyle et al.	713		

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EX	5,961,644	10/5/99	Kurtzberg et al.	713		
EY	5,978,917	11/2/99	Chl	713		
EZ	5,983,348	11/9/99	Ji	713		
FA	5,983,350	11/9/99	Minear et al.	713		
FB	5,987,606	11/16/99	Chrasole et al.	713		
FC	5,987,610	11/16/99	Franczek et al.	713		
FD	5,987,611	11/16/99	Freund	713		
FE	5,991,881	11/23/99	Conklin et al.	713		
FF	5,999,711	12/7/99	Misra et al.	713		
FG	6,003,132	12/14/99	Mann	713		
FH	6,061,795	5/9/00	Dircks et al.	713		
FI	6,070,244	5/30/00	Orchier et al.	713		
FJ	6,081,894	6/27/00	Mann	713		

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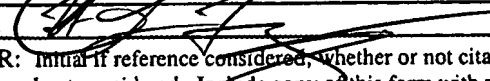
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FK		6,088,803	7/11/00	Tso et al.	713		
FL		6,088,804	7/11/00	Hill et al.	713		
FM		6,092,194	7/18/00	Touboul	713		
FN		6,098,173	8/1/00	Elgressy et al.	713		
FO		6,119,234	9/12/00	Aziz et al.	713		
FP		6,122,738	9/19/00	Millard	713		
FQ		6,154,844	11/28/00	Touboul et al.	713		
FR		6,167,520	12/26/00	Touboul	713		
FS		6,185,689	2/6/01	Todd, Sr. et al.	713		
ET		6,205,552	3/20/01	Fudge	713		
FU		6,266,773	7/24/01	Kiser et al.	713		
FV		6,266,774	7/24/01	Sampath et al.	713		
FW		6,272,641	8/7/01	Ji	713		
FX		6,275,938	8/14/01	Bond et al.	713		
FY		6,275,942	8/14/01	Bernhard et al.	713		
FZ		6,279,113	8/21/01	Vaidya	713		

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GA		6,298,445	10/2/01	Shostack et al.	713		
GB		6,301,668	10/9/01	Gleichauf et al.	713		
GC		6,314,520	11/6/01	Schell et al.	713		
GD		6,321,338	11/20/01	Perras et al.	713		
GE		6,324,647	11/27/01	Bowman-Amuah	713		
GF		6,338,141	1/8/02	Wells	713		
GG		6,347,374	2/12/02	Drake et al.	713		
GH		6,357,008	3/12/02	Nachenberg	713		
GI		6,405,318	6/11/02	Rowland	713		
GJ		6,408,391	6/18/02	Huff et al.	713		
GK		6,460,141	10/1/02	Olden	713		
GL		6,470,449	10/22/02	Blandford	713		
GM		6,477,648	11/5/02	Schell et al.	713		
GN		6,477,651	11/5/02	Teal	713		

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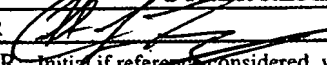
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GO		6,487,666	11/26/02	Shanklin et al.	713		
GP		6,499,107	12/24/02	Gleichauf et al.	713		
GQ		6,510,523	1/21/03	Perlman et al.	713		
GR		6,519,703	2/11/03	Joyce	713		
GS		6,530,024	3/4/03	Proctor	713		
GT		6,546,493	4/8/03	Magdych et al.	713		
GU		6,574,737	6/3/03	Kingsford et al.	713		
GV		6,578,147	6/10/03	Shanklin et al.	713		
GW		6,681,331	1/20/04	Munson et al.	713		
GX		6,691,232	2/10/04	Wood et al.	713		
GY		6,704,874	3/9/04	Porras et al.	713		
OZ		6,725,377	4/20/04	Kouznetsov	713		
HA		6,725,378	4/20/04	Schuba et al.	713		
HB		6,775,780	8/10/04	Muttik	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HC		6,792,546	9/14/04	Shanklin et al.	713		
HD		6,816,973	11/9/04	Glechauf et al.	713		
HE		6,839,850	1/4/05	Campbell et al.	713		
HF		2001/0034847	10/25/01	Gaul, Jr.	713		
HG		2002/0035698	3/21/02	Matan et al.	713		
HH		2002/0083331	6/27/02	Krumel	713		
HI		2002/0083334	6/27/02	Rogers et al.	713		
HJ		2002/0138753	9/26/02	Munson	713		
HK		2002/0144156	10/3/02	Copeland, III	713		
HL		2003/0088791	5/8/03	Porras et al.	713		
HM		2003/0212903	11/13/03	Porras et al.	713		
HN		2004/0010718	1/15/04	Porras et al.	713		
HO		4,672,609	6/9/87	Humphrey et al.	714		
HP		4,773,028	9/20/88	Tallman	714		
HQ		5,398,196	3/14/95	Chambers	714		

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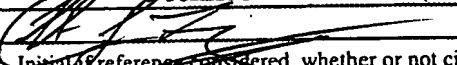
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HR		5,440,723	8/8/95	Arnold et al.	714		
HS		5,452,442	9/19/95	Kephart	714		
HT		5,649,095	7/15/97	Cozza	714		
HU		5,765,030	6/9/98	Nachenberg et al.	714		
HV		5,964,889	10/12/99	Nachenberg	714		
HW		6,006,016	12/21/99	Faigon et al.	714		
HX		6,016,553	1/18/00	Schneider et al.	714		
HY		6,021,510	2/1/00	Nachenberg	714		
HZ		6,029,256	2/22/00	Kouznetsov	714		
IA		6,033,423	3/7/00	Hodges et al.	714		
IB		6,094,731	7/25/00	Waldin et al.	714		
IC		6,108,799	8/22/00	Boulay et al.	714		
ID		6,173,413	1/9/01	Staughter et al.	714		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
IE		6,199,181	3/6/01	Rechef et al.	714		
IF		6,230,288	5/8/01	Kuo et al.	714		
IG		6,314,525	11/6/01	Mahalingham et al.	714		
IH		6,324,656	11/27/01	Glechauf et al.	714		
II		6,601,190	7/29/03	Meyer et al.	714		
IJ		2002/0032880	3/14/02	Poletto et al.	714		
IK		5,454,074	9/26/95	Hartel et al.	715		
IL		5,522,026	5/28/96	Records et al.	715		
IM		6,271,840	8/7/01	Einseth et al.	715		
IN		6,517,587	2/11/03	Satyavolu et al.	715		
IO		6,535,227	3/18/03	Fox et al.	715		
IP		4,819,234	4/4/89	Huber	717		
IQ		5,590,331	12/31/96	Lewis et al.	717		
IR		5,774,727	6/30/98	Walsh et al.	717		
IS		5,872,978	2/16/99	Hoskins	717		

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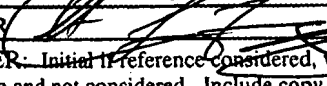
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IT	5,956,012	9/7/99	Shiell et al.	717		
IU	6,118,940	9/12/00	Alexander, III et al.	717		
IV	6,405,364	6/11/02	Bowman-Amuah	717		
IW	6,606,744	8/12/03	Mikurak	717		
EX	6,397,242	5/28/02	Devine et al.	718		
IY	2002/0032717	3/14/02	Malan et al.	718		
IZ	6,477,585	11/5/02	Cohen et al.	719		
LA	6,721,806	4/13/04	Boyd et al.	719		

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BOX 1 / 4**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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IB	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.			
JC	WO 02/006928	01/24/02	PCT	vCIS, Inc.			
JD	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.			
JE	WO 02/056152	07/18/02	PCT	Psionic Software			
JF	0 985 995	08/13/03	EP	International Business Machines Corp.			
JG	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.			
JH	WO 00/02115	01/13/00	PCT	PRC Inc.			
J	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson			
JJ	WO 99/50734	10/07/99	PCT	AT&T Corporation			
JK	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.			
JL	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.			
JM	0 636 977	05/23/01	EP	Chambers			
JN	WO 99/15966	04/01/99	PCT	Symantec Corporation			

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JO	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
JP	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
JQ	International Search Report for PCT/US02/17161 of December 31, 2002
JR	Hyland, et al., Concentric Supervision of Security Applications: A New Security Management Paradigm Computer Security Applications Conference, 1998, pp. 59-68

EXAMINER

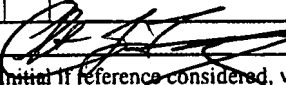
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	JS	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206					
	JT	Alves-Foss, J., An Overview of SNIE: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101					
	JU	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217					
	JV	International Search Report for PCT/US01/13769 of March 8, 2002					
	JW	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66					
	IX	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27					
	IY	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14					
	JZ	International Search Report for PCT/US02/04989 of September 19, 2002					
	KA	International Search Report for PCT/US02/02917 of August 8, 2002					
	KB	International Search Report for PCT/US03/00155 of May 15, 2003					
	KC	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12					
	KD	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112					
	KE	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32					
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BOX 1 / 4**U.S. PATENT DOCUMENTS**

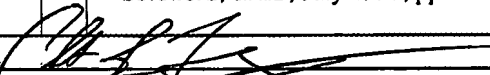
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KF	Mayer et al., <u>The Design of the Trusted Workstation: A True Infosec Product</u> , 13 th National Computer Security Conference, Washing, DC, October 1-4, 1990, pp. 827-839
KG	Dawson, <u>Intrusion Protection for Networks</u> , Byte, April 1995, pp. 171-172
KH	Buhkan, <u>Checkpoint Charlie</u> , PC Week Network, November 27, 1995, pp. N1, N6-N7
KI	Process Software <u>Technical Support Page</u> , found on http://www.process.com/techsupport/whitesec.html , printed off of the Process Software website on February 26, 2003, pp. 1-5
KJ	Ganesan, <u>BAfirewall: A Modern Firewall Design</u> , <u>Proceedings Internet Society Symposium on Network and Distributed System Security 1994</u> , Internet Soc., 1994, pp. 99-108
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KL	Lunt, <u>Automated Intrusion Detection</u> , Chapter II-4-4 of <u>Handbook of Information Security Management</u> , Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 551-563
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BOX 1 / 4**U.S. PATENT DOCUMENTS**

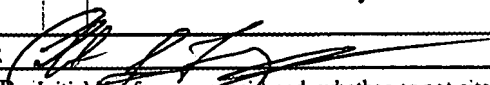
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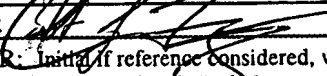
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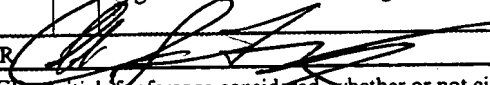
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	MC	"Reprint Review - The Information Security Portal - Open e-Security Platform Version 1.0", February 2000, West Coast Publishing, SC Magazine, 1999			
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	ME	Ann Harrison, "Computerworld - Integrated Security Helps Zap Bugs," Feb. 21, 2000, Computerworld, Vol. 34, No. 8, Framingham, MA.			
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NX	WO 99/13427	3/18/99	PCT	MCI Worldcom, Inc.			
NY	WO 99/57626	11/11/99	PCT	Acquis Technology, Inc.			
NZ	WO 00/10278	2/24/00	PCT	Cryptek Secure Communications, LLC			
OA	WO 00/25214	5/4/00	PCT	Securecoft, Inc.			
OB	WO 00/25527	5/4/00	PCT	Telefonaktiebolaget LM Ericsson			
OC	WO 00/34867	6/15/00	PCT	Network Ice Corporation			
OD	WO 02/101516	12/19/02	PCT	Intuvirt Networks, Inc.			

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<input checked="" type="checkbox"/>	OY	Porras et al., Life Traffic Analysis of TCP/IP Gateways, November 10, 1997, Internet Society's Networks and Distributed Systems Security Systems Symposium, March 1998, http://www.sdl.sri.com/projects/emerald/live-traffic.html , Pages 1-16

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BOX 2 / 4**U.S. PATENT DOCUMENTS**

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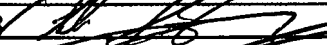
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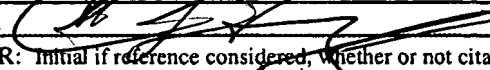
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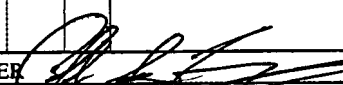
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